

PATENT
#01-0367-UNI
Case #F7590
*YH
HB
2/18/02*

Express Mail Label No.: ET 506 460 383 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Van Oorschot et al.

Deposited: February 8, 2002

For: PROCESS FOR THE PREPARATION OF ONE OR MORE STATINS BY
FERMENTATION

Edgewater, New Jersey 07020
February 8, 2002

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

With regard to the above-identified application filed concurrently herewith, please amend the following:

In the Claims:

Please enter the following amended claims:

4. (Amended) Process according to claim 1, wherein the statins producing fungus is a *Monascus* fungus.

5. (Amended) Process according to claim 1, wherein an amount of oil being at least 5 wt.% oil is present in the substrate during fermentation.